## IN THE UNITED STATES TENT AND TRADEMARK OFFICE

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## **Patent Application**

Inventors:

Turan Erdogan

Case No. 12-42-7

Thomas Andrew Strasser

Paul Stephen Westbrook

Serial No.

09/781,857

Filing Date

2/12/01

Title:

In-Line Polarization Monitoring and Control in Lightwave

Communication Systems

COMMISSIONER OF PATENTS WASHINGTON, D.C. 20231

SIR:

## INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement, with attached reference(s), is submitted for consideration in the above-identified application.

Copies of listed documents are enclosed.

NO FEE IS REQUIRED.

In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit Lucent Technologies Deposit Account No. 12-2325 as required to correct the error.

Respectfully,

By: Wendy W. Koles

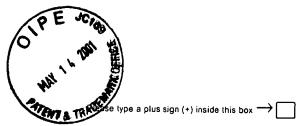
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Date: 5/7/01

Att: - Information Disclosure Statement with attachment(s)



PTO/SB/21 (08-00)

## Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number **TRANSMITTAL** Filing Date **FORM** First Named Inventor (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 12-42-7 **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Fee Attached Appeal Communication to Board Drawing(s) of Appeals and Interferences Amendment / Reply Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer OReturn receipt posteard Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s)\_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual name Signature Date CERTIFICATE OF MAILING

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